## 10/5947<sup>5</sup>3-IAPO1 Rec'd PCT/PTO 29 SEP 2006

### IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

International Application No. : PCT/DE05/00577

International Filing Date : March 31, 2005

Priority Date(s) Claimed : March 31, 2004

Applicant(s) (DO/EO/US) : Bernd ULLMANN et al

Title: PAPERS PROVIDING GREAT FAT AND OIL PENETRATION RESISTANCE, AND METHOD FOR THE PRODUCTION THEREOF

### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This information disclosure statement is made in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98 as follows:

#### **Timing and Fees**

Under 37 C.F.R. § 1.97(b), no fee or statement is required for filing this information disclosure statement is filed:						
	within three months of the filing date of a national application other than a CPA under § 1.53(d);					
	within three months of the actual filing date of the national phase of a PCT application; OR					
	before the mailing of a first substantive office action (including after filing of an RCE).					
Under 37 C.F.R. § 1.97(c), this information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of:						
	a final rejection under 37 C.F.R. 1.113; termination of prosecution, e.g. Ex Parte Quayle, M.P.E.P § 609(B)(2); OR a notice of allowance under 37 C.F.R. § 1.311; and					

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		is acco	ompanied by:
			the statement as specified in 37 C.F.R. § 1.97(e) set out below; OR
			a check covering the fee of \$180.00 under 37 C.F.R. § 1.17(p).
			R. § 1.97(d), this information disclosure statement is filed after the mailing lowing actions which have not been withdrawn:
		a final	action under 37 C.F.R. § 1.113;
		termin	ation of prosecution, e.g. Ex Parte Quayle, M.P.E.P § 609(B)(2);
		OR a r	notice of allowance under 37 C.F.R. § 1.311;
	AND i	is filed o	on or before payment of the issue fee; AND is accompanied by:
			tement as specified in 37 C.F.R. § 1.97(e) as set forth below, and the fee of 00 under 37 C.F.R. § 1.17(p).
Staten	nents Ui	nder 37	C.F.R. 1.97(e)
			Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application having a mailing date not more than three months prior to the filing date of this information disclosure statement; or
			No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of the information disclosure statement.
Cited l	Materia	<u>ls</u>	
		ancesto	of materials listed but not attached were cited in benefit (35 U.S.C. § 120) or application Serial No, on Form 892 by the Examiner and/or Form by the applicant; see 37 C.F.R. § 1.98(d).
	$\boxtimes$		s of materials listed but not attached were cited in an international search dated <u>August 9, 2005</u> .
	$\boxtimes$	Not red	quired by 37 CFR § 1.98.
	$\boxtimes$	Copies	of the materials listed are attached (except for the foregoing).

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Non-E	engusn	Language References
	$\boxtimes$	An English-language search report or equivalent paper from a foreign patent office is provided indicating the relevance of the cited reference(s).
		A foreign-language search report from a foreign patent office is provided, and pertinent parts are translated substantively below:
		<ul> <li>X = document of particular relevance when it is taken alone</li> <li>Y = document of particular relevance when it is combined with another such document</li> <li>A = document defining the general state of the art</li> <li>O = non-written disclosure</li> <li>P = intercalated document</li> <li>T = document cited to understand the theory or principle underlying the invention</li> <li>E = patent document which has the benefit of a date earlier than the filing date and which was published only on or after this filing date</li> <li>D = cited in the application</li> <li>L = cited for another reason</li> <li>&amp; = publication of member of same patent family</li> </ul>
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The Commissioner is hereby authorized to charge or credit any overpayment to Deposit

Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,

Brion P. Heaney, Reg No. 32,542 Attorney/Agent for Applicants

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Attorney Docket No.: KURARAY-0014

Date: September 29, 2006

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO Complete if Known Application Number unknown INFORMATION DISCLOSURE September 29, 2006 Filing Date STATEMENT BY APPLICANT Bernd ULLMANN First Named Inventor Group Art Unit unknown (use as many sheets as necessary) Examiner Name unknown KURARAY-0014 Sheet 2 Attorney Docket Number

		U.S. Patent Docur	nent			
Examiner Initials *	Cite No.1	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Documer  MM-DD-YYYY	
	A1	2003-198885	A1	Tamagawa SHIGEHISA et al	10-23-2003	
	A2	5866618	Α	Duy NGUYEN	02-02-1999	
	A3	4837087	Α	FLOYD et al	06-06-1989	
	A4	4548676	Α	JOHNSTONE et al	10-22-1985	
	A5	6294265	B1	Michael IOELOVICH et al	09-25-2001	
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				FOREIG	ON PATENT DOCUMENTS			
Examiner Initials*	Cite No.1	Office <sup>3</sup>	oreign Patent Docun	nent Kind Code⁵ ( <i>if</i> <i>known</i> )	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	B1	EP	0545043	A1	Alois KERKHOFF et al	06-09-1993	Claims 1-12; Examples 1-3	
	B2	EP	0697622	А	Martina WICHER et al	02-21-1996	Claims 1-13; Examples 1-3	
	В3	DE	4323560	A1	Lloyd ROBESON et al	01-20-1994	All	B5
	B4	wo	0121881	A2	Michael IOELOVICH et al	03-29-2001	All	A5
	B5	CA	2100117	A1	Lloyd ROBESON et al	01-16-1994		
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INITO E	388 A TION	D10		Application Number	unknown	
			CLOSURE	Filing Date	September 29, 2006	
STAT	EMENT B	Y AI	PPLICANT	First Named Inventor	Bernd ULLMANN	
				Group Art Unit	unknown	
(	use as many she	ets as	necessary)	Examiner Name	unknown	
Sheet	2	of	2	Attorney Docket Number	KURARAY-0014	

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